Med. Innovation Playbook 2011 Data - New Respondents

Overview of Institution/Office

1. Is your TCO structured as a unit of the institution or as a separate organization?*
   - [ ] Unit of the institution
   - [ ] Separate organization
   - [ ] Other, please specify

2. What is/are the source(s) of funds for your TCO budget? (Please indicate all that apply.)*
   - [ ] Commercialization revenue
   - [ ] Line item in institutional budget
   - [ ] Other, please specify

3. Does your TCO utilize external commercial website portals to market technologies available for licensing?*
   - [ ] Yes
   - [ ] No

4. Who at your institution is generally responsible for the formation and incorporation of start-up companies?*
   Select at least 1.
   - [ ] TCO
   - [ ] Another department
   - [ ] Inventor(s)
   - [ ] Other, please specify

5. Does your institution have a business accelerator/incubator?*
   - [ ] Yes
   - [ ] No

6. Does your institution have an Institutional Conflict of Interest policy?*
   - [ ] Yes
   - [ ] No
Basic Statistics

7. What was your TCO’s Licensing Revenue earned in Fiscal Year 2011:
   TOTAL Licensing Revenue:
   HEALTH CARE-RELATED Licensing Revenue:

8. For Fiscal Year 2011, what was your institution’s TOTAL number of:
   Inventions Disclosed:
   HEALTH CARE-RELATED Inventions Disclosed:

9. For Fiscal Year 2011, what was your institution’s TOTAL number of:
   Licenses executed:
   HEALTH CARE-RELATED Licenses executed:

10. For Fiscal Year 2011, what was your institution’s TOTAL number of:
    Options Executed:
    HEALTH CARE-REALTED Options executed:

11. For Fiscal Year 2011, what was your institution’s TOTAL number of:
    Start-up Companies created:
    HEALTH CARE-RELATED Start-up companies created:

12. Of the TOTAL number of Licenses/Options executed by your institution in 2011,
    How many included Equity:
    How many HEALTH CARE-RELATED License/Options executed included equity:

13. Of the TOTAL number of Licenses/Options executed by your institution in 2011,
    How many were licensed to Start-up companies:
    How many HEALTH CARE-RELATED License/Options were licensed to Start-up companies:
14. For Fiscal Year 2011, what was your institution’s TOTAL number of:

<table>
<thead>
<tr>
<th>Category</th>
<th>Number</th>
</tr>
</thead>
<tbody>
<tr>
<td>U.S. Patent applications filed</td>
<td></td>
</tr>
<tr>
<td>HEALTH CARE-RELATED U.S. Patent applications filed</td>
<td></td>
</tr>
<tr>
<td>U.S. Patents issued</td>
<td></td>
</tr>
<tr>
<td>HEALTH CARE-RELATED U.S. Patents issued</td>
<td></td>
</tr>
<tr>
<td>Provisional Patents filed</td>
<td></td>
</tr>
<tr>
<td>HEALTH CARE-RELATED Provisional Patents filed</td>
<td></td>
</tr>
</tbody>
</table>

15. For Fiscal Year 2011, what was your institution’s TOTAL amount of:

<table>
<thead>
<tr>
<th>Category</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Federally sponsored research activities</td>
<td></td>
</tr>
<tr>
<td>HEALTH CARE-RELATED Federally sponsored research expenditures</td>
<td></td>
</tr>
<tr>
<td>Industry-sponsored research expenditures</td>
<td></td>
</tr>
<tr>
<td>HEALTH CARE-RELATED Industry-sponsored research expenditures</td>
<td></td>
</tr>
<tr>
<td>Other research expenditures (please specify)</td>
<td></td>
</tr>
</tbody>
</table>

16. For Fiscal Year 2011, what was your total TCO budget?*

17. In what year did your institution first dedicate at least 0.5 Professional FTEs toward Technology Transfer activities?*

18. What was your TCO’s number of FTEs employed during fiscal year 2011*?

<table>
<thead>
<tr>
<th>Category</th>
<th>Number</th>
</tr>
</thead>
<tbody>
<tr>
<td>TOTAL FTEs</td>
<td></td>
</tr>
<tr>
<td>Total number of Licensing FTEs</td>
<td></td>
</tr>
<tr>
<td>How many are related to HEALTH CARE</td>
<td></td>
</tr>
<tr>
<td>Total number Other FTEs</td>
<td></td>
</tr>
<tr>
<td>How many related to Start-Up Companies</td>
<td></td>
</tr>
</tbody>
</table>
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Institution/Office Profile

19. For your institution’s health care disclosures, what is the percentage breakdown of:

a. Device
b. Drug/Therapeutic
c. Diagnostic
d. Biologics
e. Software tools
f. Other

20. Does your institution have a budget for prototyping, product development, or similar translation activity?*

☐ Yes
☐ No

21. If applicable, approximately how many Material Transfer Agreements (MTAs) does your TCO manage annually?*


22. Which office in your institution manages Industrial Research Contracts (IRCs)?*


23. If your TCO manages IRCs, approximately how many do you manage annually that are related to health care?


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Institution Current IP Policy and Patent Prosecution

24. What share of commercialization revenue is distributed to the following (please ensure that the total is 100%): *
Inventor
Inventor's laboratory
Inventor's department
School or Institute
TCO
Administration
Other

25. Approximately what percentage of your IP expenses is reimbursed by your licensees?*

- [ ] More than 80%
- [ ] 61-80%
- [ ] 41-60%
- [ ] 20-40%
- [ ] Less than 20%
- [ ] Other, please specify

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**Med. Innovation Playbook 2011 Data - New Respondents**

**Compliance**

26. How many Total Cumulative Active Licenses does your TCO currently manage:

Total Licenses: 
Health Care-Related Cumulative Active Licenses: 

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**Med. Innovation Playbook 2011 Data - New Respondents**

**Internal and External Marketing**

27. Does your TCO have a formal (planned or advertised) or informal departmental outreach
program?*
- Formal
- Informal
- None

28. Does your TCO advertise technologies available for licensing on your institution or TCO website?*
- Yes
- No

29. What other techniques does your TCO utilize to market technologies? (Please indicate all that apply.)*
- Cold calls
- Mailing of summaries
- Trade shows/conferences
- Consultants
- Other, please specify

30. Does your TCO have entrepreneurs/executives in residence?*
- Yes
- No

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Med. Innovation Playbook 2011 Data - New Respondents

**New Venture Creation and Governance**

31. Does your TCO engage in equity-only licenses?*
- Yes
- No

32. In your institution’s history, how many of your institution’s TOTAL start-up companies have achieved IPO?

TOTAL Start-up companies: __________

How many HEALTH CARE-RELATED start-up companies: __________

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33. In your institution’s history, how many of your institution’s TOTAL start-up companies have been
the subject of a merger or acquisition?

TOTAL start-up companies: 

How many HEALTH CARE-RELATED start-up companies: 

34. How many start-up companies did your TCO form during fiscal year 2011 that were:

Dependent upon the licensing of your institution’s technology for initiation

How many were HEALTH CARE-RELATED?

35. Of the TOTAL start-up companies formed in fiscal year 2011:

In how many does your institution hold equity:

How many were HEALTH CARE-RELATED start-up companies: